# **PLB NEWS**

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Welcome to PLB News, the quarterly newsletter of the Iowa Professional Licensing Bureau.

To keep licensees and stakeholders informed, we are pleased to provide you with information about each of the Bureau's seven professional licensing boards. Click on the name of the board in the list to the left, and you will jump to that section of the newsletter.

We welcome your feedback. Feel free to call the office or e-mail your profession's board. Contact information can be found on the last page of the newsletter.

# **PLB**

# **New Staff Member**



The Professional Licensing Bureau welcomes new staff member Ruby Kreklau.

Kreklau is the licensing specialist for the Accountancy Board, Engineering/Land Surveying Board, and Interior Design Board. She joined PLB in February.

# **Accountancy Board**

# **Outgoing Board Member**

The Iowa Accountancy Examining Board has one outgoing board member this year, Evelyn Rank, public member. Rank served on the Board from 2009-2015. The Board thanks Rank for her generous contribution of time and expertise, and wishes her the best of luck.

Please watch for a future announcement about a new board member.

# **Accountancy Board Discipline**

Consent orders accepted by the Board may be found on the disciplinary index on the accountancy homepage.

#### 14-05 Keith Germann, 003006

The Board charged the Respondent with practicing public accountancy on a lapsed certificate. In addition to being reprimanded, a \$1,000 civil penalty was imposed and the Respondent was required to complete at least 4 hours of continuing education on ethics no later than November 30, 2014. Additionally the Respondent was directed to notify all clients for whom services were provided during the period lapsed, and the Respondent shall not resume practice until the license is reinstated.

## 14-14 Erpelding-Voight & Co., LLP, 02014-211

The Board charged the Respondent with practicing public accountancy on a lapsed certificate. In addition to being reprimanded, a \$1,000 civil penalty was imposed and the Respondent was required to notify all clients for whom attest services were provided during the period lapsed.

# **Architectural Examining Board**

# **IPD Streamline Implementation**

National Council of Architectural Registration Boards (NCARB) has approved significant changes that will streamline and overhaul the Intern Development Program (IDP), which most states require to satisfy experience requirements for initial licensure as an architect.

The changes will be implemented in two phases. The first will streamline the program by focusing on the IDP's core requirements and removing its elective requirements. The second phase will condense the 17 current experience areas into six practice-based categories that will also correspond with the divisions tested in the Architect Registration Examination® (ARE®).

## Phase 1: Focusing on Core Requirements

The IDP currently requires interns to document 5,600 hours of experience, with 3,740 of those hours as core requirements in specific architectural experience areas. The remaining 1,860 hours are elective hours. The first reinvention phase will streamline the IDP by removing the elective hour requirement, with interns documenting only the 3,740 hours in the 17 core experience areas.

In making its decision to eliminate the elective hours, the Board considered several important statistics:

- The average intern currently takes five years to complete the hours required for IDP and another 2.2 years to complete the ARE, totaling an average of more than seven years from graduation to licensure.
- With this reduction in required IDP hours, it is likely that the average intern will take roughly three to four years to complete their IDP requirements following this change.
- Combined with the time required to complete the ARE, NCARB anticipates that the average intern will have five to six years of post-graduation experience prior to qualifying for initial licensure.

Effective June 30, 2015, NCARB will implement the first phase of approved changes to the Intern Development Program (IDP), referred to as IDP Streamline.

## Phase 2: Aligning Internship and Examination

NCARB will also realign the framework of IDP requirements into six experience categories reflecting the six general areas of practice, which were identified by the 2012 NCARB Practice Analysis of Architecture. These changes will mirror the six divisions of future version of licensing exam—ARE 5.0.

NCARB's internship-related committees will provide guidance on mapping the existing requirements into the new, overhauled format. This work should be completed and ready for introduction in mid-2016, before the launch of ARE 5.0 in late 2016.

To learn more, interns, architects, and other stakeholders should visit the NCARB website, blog, and <u>frequently asked questions</u> for information as the IDP implementation plan develops. See more at: http://www.ncarb.org/en/News-and-Events/News/2014/09-

IDPStreamlineOverhaul.aspx#sthash.faCBvV9w.dpuf

# **Engineering & Land Surveying Examining Board**

# **Outgoing Board Members**

The Iowa Engineering and Land Surveying Examining Board has two outgoing board members this year, Christy VanBuskirk, PE and Marlee Walton, PE/PLS. VanBuskirk served on the Board from 2006-2015; Walton served on the Board from 2008-2015. The Board thanks them for their generous contribution of time and expertise, and wishes them the best of luck.

Please watch for future announcements about new board members.

## 2015 Board Calendar

January 8, 2015	Board Meeting – Des Moines
January 15, 2015	Postmark date for PE, PLS, and FLS examination applications submitted to Board
	office.
January 26, 2015	Board Meeting – Des Moines
January 30, 2015	Last day for late renewal of licenses (\$25 late fee)
	Expiring licenses not renewed will be changed to LAPSED status.
March 12, 2015	Board Meeting – Des Moines
April 17, 2015	Exams – Ames
May 14, 2015	Board Meeting – Des Moines
July 9, 2015	Board Meeting – Des Moines
July 15, 2015	Postmark date for PE, PLS, and FLS examination applications submitted to Board
	office.
September 10, 2104	Board Meeting – Des Moines
October 30, 2015	Exams – Ames
November 19, 2015	Board Meeting – Des Moines

# **Lapsed Licenses**

Were you supposed to renew your professional engineering or land surveying license by December 31, 2014? If you missed the December 31 deadline you had an option to renew in January and pay a \$25 late fee. In addition to the November notification postcard, this year in January the Board sent a reminder email to all licensees scheduled to lapse. If you were scheduled to renew and didn't receive the November notification postcard or the reminder email in January, perhaps we don't have your current contact information. A change of address form can be found on our Website: <a href="https://www.plb.iowa.gov">www.plb.iowa.gov</a>

How do you find out when you are supposed to renew your license? The license card issued by the Professional Licensing and Regulation Bureau displays your expiration date. If you don't have your license card, go to the On-line License Verification on our web page at <a href="https://eservices.iowa.gov/licensediniowa/">https://eservices.iowa.gov/licensediniowa/</a>.

Any license that expired December 31, 2014, and not renewed before January 31, 2015, has lapsed. Lapsed licenses can be reinstated in accordance with 193C IAC 3.5(542B). The list of lapsed licensees appears below.

Last Name	First Name	Lic. #	BROWN	MIKAEL	20300
ABBATE	MARTIN	14874	BROWN	PAUL	20373
AKINS	KENNETH	13939	BROWN	SEAN	14781
ALFIERI	JOACHIM	15851	BRUNER	STEPHEN	10626
ANDERSON	DALLAS	19428	BUDKE	JAMES	6554
ANDERSON	DONALD	3727	BURGER	JIM	15974
ANDERSON	JACK	21490	BUSS	LARRY	7047
ANDERSON	JOHN	18281	BYRAIAH	PUTTAIAH	18290
ANDERSON	LAWRENCE	6285	CARTER	MARTIN	18621
ANDRESEN	JAMES	10163	CASSARA	DAVID	21542
ARLANDSON	CHRIS	19310	CASSITY	PATRICK	15644
ARNESON	JEFFREY	18555	CASTILLO	NESTOR	20514
AUSTIN	SCOTT	12164	CHALUPNIK	TIMOTHY	11661
AWADA	FADI	15527	CHIHAB	FOUAD	21803
BAILEY	AUBREY	17681	CHRISTENSEN	JOHN	10099
BARNA	STEPHEN	11169	CHRISTENSEN	STEVEN	10784
BARRETT	BRADLEY	11108	CHRISTOFFERSON	JACK	15695
BARRETT	DAVID	11983	CHRISTOPHERSON	DYLAN	21474
BAZIS	MARK	19401	CHU	WAN TAO	18271
BEIGHLE	TRENT	18620	CHYI	YAW	15602
BIRETA	GARY	20555	COAD	WILLIAM	12690
BLANCHARD	CLIFTON	17439	COLANDREA	ALDO	20324
BLESSUM	RAYMOND	4794	COLE	ROBERT	8947
BLOOME	JAMES	7863	COLEMAN	JAMES	18386
BLY	JACK	12031	CORNELIS	LEON	5685
BODINE	TERRANCE	12188	Last Name	First Name	Lic. #
BOEHLE	PAUL	7636	COUSTRY	ERIC	10627
BOONSTRA	GLENN	16762	CRABTREE	JASON	21526
BORDNER	RANDOLPH	11615	CROW	JUSTIN	19573
BOROWITZ	JERRE	16768	CUFFMAN	JERALD	14151
Last Name	First Name	Lic. #	DAS	PRIYA	18512
BREU	THOMAS	18239	DAUBENBERGER	MICHAEL	20453
BROCKMUELLER	STEVE	12211	DAVENPORT	SCOTT	20353
BROEKING	KENNETH	7637	DAVIDSON	KEVIN	19214
BROMELKAMP	KELLY	20491	DE GABRIELE	PETER	7825
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DICKINSON	DAVID	20296	HEIDENREICH	KURT	14785
DONOGHUE	CHARLES	20777	HEIL	MONICA	20329
DORAVA	JOSEPH	17692	HERMANS	ALBERT	12072
DUCHATEAU	DAVID	12776	HICKEY	THOMAS	15935
DUPLANTIS	DAVID	16783	HILL	CHARLES	14702
EDGINGTON	STEVEN	21415	HILL	ROBERT	7611
ELVING	JAMES	10286	HITE	HAROLD	21917
EMMERSON	RALPH	4819	HOLDERBAUM	RODNEY	16866
ENGLER	DAVID	18480	HOLM	DAVID	9057
ESPLAN	DENNIS	16556	HOOGENDOORN	RUSSELL	21621
ESTREM				GREGORY	
EWELL	JON	15645 15877	HORNE		21960
FARMER	KENNETH	16876	HORNE	JOHN JAMSHID	16763 18302
	GREGORY		HOSSEINZADEH	ROBERT	
FARRAR	ROBERT	17379	HUUN	_	21728
FARRELL	JOHN	14076	JACKSON	JON	14960
FERNANDEZ	LESLIE	19630	JACOBSON	STUART	10617
FIELD	RICHARD	14175	JAGELS	NORMAN	8279
FLETCHALL	LORAN	3988	JANES	GILBERT	8369
FOX	GARY	8236	JANOWAK	MARTIN	21622
FRANK	JAMES	10141	JEHLING	BRYAN	21875
FREDERICKSEN	BERT	14878	JEHRING	GEORGE	17683
FREY	JOHN	10712	JENNISON	KENNETH	19606
FRY	DONALD	20591	JOHANNES	RICHARD	12124
FULLER	ZACHARY	20636	JOHNSON	F	5144
GABBY	BRENT	19323	JONGERIUS	KEVIN	10041
GASSMANN	PAUL	19359	JORDAN	JOSHUA	19304
GATES	PHILIP	14830	KALTSAS	JOSEPH	18225
GEHRKE	MARION	12566	KAMTZ	GERALD	13285
GHADRDAN	ESMAIL	16685	KEEF	DONALD	14787
GILES	JAMES	14879	KENNY	BRIAN	12650
GILMAN	STEPHEN	17610	KENT	DENNIS	11093
GILMORE	BRUCE	2747	KESHAVARZI	FIROOZ	20671
GOODWIN	LARRY	20401	KHALILIEH	SAM	19347
GRANA	DOMINIC	12781	KILL	JAMES	7259
GRANLE	KENNETH	9567	KING	DAVID	19523
GRAVES	PETER	18210	KING	LARRY	17537
GREENWALD	ROBERT	9175	KIRKVOLD	ERWIN	14020
GROLL	ALAN	21757	KIRN	MICHAEL	12075
GROSKURTH	WILHELM	4799	KLAPP	MICHAEL	4821
GUPTA	DINBANDHU	21458	KOLLIAS	ADRIAN	21489
GUPTA	RAJ	14010	KORSTAD	DEAN	18373
GUST	DANIEL	8346	KRAFFT	CHRISTOPHER	18607
HAASE	CHRISTOPHER	19448	KRAFT	MICHAEL	20586
HALLING	GREGORY	18625	KREBS	DEAN	18322
HAM	DENNIS	19326	KRIEG	JONATHAN	14129
HAMILTON	TIMOTHY	18349	KUCHER	JAN	12799
Last Name	First Name	Lic. #	Last Name	First Name	Lic. #
HANEGAN	TRACI	20327	KUEHL	BRUCE	8371
HANSEN	JEFFREY	11576	LAFLEN	JOHN	7039
HARBER	CHRISTINA	21862	LANE	MICHAEL	19237
HARRIS	ROGER	20535	LARSON	LOREN	6572
HARTLEY	JACK	18358	LAUTERBACH	ZACHARIAH	20602
HARTSTERN	STEPHEN	13336	LEE	HARRY	16491
HARVIN	JENNIFER	21539	LIVERS	ROBIN	19539
HAYES	TROY	16564	LOCKWOOD	DENNIS	19549
HEAD	CLINTON	21509	LODES	ROBERT	9113

LOGESON	ROGER	16846	PAUL	ROBERT	16596
LOVELACE	BARRITT	20786	PAULSON	ANDREW	19312
LOWETH	CARL	10180	PAYER	ROBERT	6609
MADDEN	JOHN	7016	PEARSON	PRESTON	20522
MAHALEY	RICHARD	18374	PEDRAZA	OSCAR	14989
MAJOR	JAMES	14145	PEIRCE	DOUGLAS	15827
MAKEEVER	ROBERT	14756	PENNEQUIN	ROLAND	17529
MALONE	TRAVIS	20718	PERRY	WILLIAM	5145
MALTEN	KENNETH	11625	PETERSON	GEORGE	15787
MANN	KENNETH	7871	PETERSON	GERALD	7621
MARIN	MICHAEL	16554	PETRO	GREGORY	17686
MARINARO	MICHAEL	15950	PHILLIPS	BRIAN	20631
MARINELLI	KIMBERLY	18368	PHILLIPS	LARRY	11100
MARLEY	STEPHEN	4856	PIAZZA	JOHN	15893
MAW	KYIE	14111	PIERSON	CHRISTOPHER	13918
MAZZA	MATTHEW	21769	POIRRIER	PHILIP	19561
MC CONNELL	DAVID	12713	POPE	EUGENE	11549
MC CRACKEN	JAMES	7873	POTOCNIK	ANTONE	14973
MC CUTCHEN	GARY	13966	POWERS	PAUL	19191
MC HANEY	BRUCE	8216	PRESCIUTTI	NICHOLAS	21571
MC WATERS	BRIAN	8531	PRIES	CYNTHIA	21793
MCBURNEY	GARY	9061	PROPPE	RICHARD	15678
MCCAIN	RICHARD	20715	PRUSS	JOHN	20326
MCCLEARY	DAVID	18142	PUGH	ROBERT	17469
MEFFERD	THOMAS	12004	RATTENBORG	WILLIAM	3996
MELDRUM	WARD	17590	REBER	TIM	21511
MESIK	JONATHAN	19386	REGMI	SUJAYA	21664
MEURER	CHARLES	18276	REID	MAURICE	1983
MEYER	PAUL	13439	RHOADES	JANETTE	16830
MILES	ROBERT	19240	RICHARDS	LARRY	7056
MILLER	FREDERIC	5194	RIEDLE	TIMOTHY	19419
MILLER	GLEN	7841	ROBINSON	CHARLES	13379
MIRCHICH	JOHN	13446	ROBINSON	CHARLES	15659
MITCHELL	STEVEN	17425	RODRIGUEZ	DANIEL	20404
MOE	TIMOTHY	10641	ROLLMAN	TIMOTHY	12792
MOORE	JOHNNY	12199	ROSS	ROBERT	19262
MOREL	MICHAEL	15037	RUCK	DONALD	20330
MORGAN	KENNETH	13205	RUPPEL	FRANCIS	18347
MURPHY	RYAN	20370	RUSSELL	RALPH	7057
MYERS	MICHAEL	21432	RYAN	RICHARD	11527
NANDA	S	7654	SABETGHADAM	AHMAD	20768
NELSON	DAVID	10680	SAMPSON	MICHAEL	17546
OAKES	DAVID	17540	SAMSON	STEVEN	21864
OGUNBEKUN	JULIUS	20719	SAMUELS	CHERISH	21929
OHME	MARVIN	13206	SCANNAPIEGO	KENNETH	16580
OKIISHI	THEODORE	6301	SCHAUER	PAUL	9195
Last Name	First Name	Lic. #	Last Name	First Name	Lic. #
OLSON	NORMAN	21689	SCHIRMER	GLENN	11550
OMURTAG	YILDIRIM	7086	SCHLAGEL	EDWARD	19256
OSTERLOH	FREDERICK	12746	SCHMID	RAYMOND	20574
PAGAN	RONALD	18230	SCHMITZ	DANIEL	21467
PAPE	KIRK	14073	SCHMOKER	SCOTT	21786
PARSONS	G.E.	21660	SCHOENECKER	JEFFREY	21876
PATEL	JAYANTI	14739	SCHRADER	ROBERT	12637
PATRICOSKI	ROBERT	20399	SCHULTE	RONALD	7624
PATTERSON	JAMES	17584	SCHUNCK	GREG	20234
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SCHWOB	MICHAEL	17451	TOONE	ROY	20721
SELLS	BRENTON	20392	TREYBAL	JOHN	21665
SEN	KRISHNA	15587	VAILE	MICHAEL	13214
SEVER	VEYSEL	20593	VAN HORN	DAVID	3809
SHAMBAUGH	MARK	12794	VANDEL	KATHI	21855
SHAMBURG	JEFFREY	20350	VANMETER	DAVID	11147
SHANNON	GARY	15629	VANNICE	MARK	13938
SHARP	THOMAS	19465	VAZQUEZ	FEDERICO	20804
SIKKA	NARINDRA	9196	VENVERTLOH	ROBERT	18492
SIP	MICHAEL	18602	VINES	SCOTTY	13948
SLUPE	MEGHAN	20476	VOGEL	GARY	11049
SMITH	ADAM	20588	VOLBERDING	RONALD	13819
SMITH	ERIC	20699	WAGNER	MICHAEL	14981
SMITH	MEGAN	20595	WAGNER	MICHAEL	20610
SMITH	NEAL	21488	WARNER	HANSFORD	8961
SMOLENS	JONATHAN	20305	WATTS	CHARLES	8132
SOELBERG	STEPHEN	7074	WEATHERLY	LANCE	20328
SOLANO	DENIS	21747	WEIDENHAMMER	STEVEN	9123
SONMOR	CHRISTOPHER	19562	WESSLING	JON	18508
SPEAR	THOMAS	20672	WESTERMAN	THOMAS	15715
SPENCE	KRAIG	15630	WHISONANT	LOUIS	11973
SPENCER	EARL	9672	WHITE	JAMES	21681
SPIELVOGEL	LAWRENCE	6629	WHITE	MICHAEL	17531
STARR	LARRY	12282	WIGHT	GEORGE	3826
STEELMAN	NATHAN	21869	WILHOITE	THOMAS	8445
STEENBERG	JOHN	20605	WILLIAMS	DAVID	9698
STUBBS	WELDON	20763	WILLIS	NOEL	4373
STURGEON	STEVEN	12206	WILLOUGHBY	THOMAS	21722
SULLIVAN	KEVIN	21497	WINARTA	MICHAEL	18155
SUTTER	DENNIS	11137	WITTE	LLOYD	7851
SWANSON	DOUGLAS	8386	WOODARD	JAMES	10252
SWEET	DARREN	19570	WOODARD	KEVIN	16583
			WRIGHT	JAMES	17542
Last Name	First Name	Lic. #	WRIGHT	RUSSELL	20378
TAYLOR	JEREMY	20648	YOUNG	LOUIS	17457
TEALE	JOHN	9177	YOUNGQUIST	MARK	20348
TENG	ALBERT	15789	ZABROCKI	JONATHAN	20445
THOMPSON	ROY	8553	ZOUCHALEO 1940	58	

# Engineering/Surveying Board Discipline

## 13-04 Kirk Eschliman, PLS, 09961

The Board charged the Respondent with failure to adhere to minimum standards of land surveying. In addition to being reprimanded, the Respondent shall submit corrected plats for peer review and Board approval. The Respondent's license is on probation until further notice, and future work is subject to desk review until further notice.

## 14-07 Douglas Judge, PE, 14839

The Board charged the Respondent with practicing engineering on a lapsed license. The Respondent was reprimanded, ordered to pay a civil penalty of \$500, and directed to comply with all statutes and administrative rules in the future.

## 14-18 Mark Lee, PLS, 11582

The Board charged the Respondent with failure to comply with an order imposing discipline in violation of Iowa Code section 272C.3(2)(a). A contested case hearing was held November 20, 2014. The Respondent's license was indefinitely suspended until a corrected plat is presented to and approved by the Board, and verification of continuing education is documented

# Interior Design Examining Board

## Notes from the Board

This is a reminder that renewals for registrants with last name L-Z will start in mid-May. The paper applications will be available at <a href="https://plb.iowa.gov">https://plb.iowa.gov</a> on or about May 18<sup>th</sup>. Due to an Administrative Rule change in 2014, the renewal fee has dropped to \$275.

# Legislative Tracking

From time to time the board finds the need to update the administrative rules. While it is the board's goal to keep you updated via the newsletter, you are responsible to know the rules. If you'd like to track interior design board administrative rules in process, you may use the link at https://www.legis.iowa.gov/law/administrativeRules/tracker.

# Landscape Architectural Examining Board

# **Outgoing Board Member**

The Iowa Landscape Architectural Examining Board has one outgoing board member this year, Tim Adams, landscape architect. Adams served on the Board from 2006-2015. The Board thanks him for his generous contribution of time and expertise, and wishes him the best of luck.

Please watch for a future announcement about a new board member.

# Real Estate Appraiser Examining Board

# Administrative Rule Changes

With the many changes that went into effect January 1, 2015, the Board has updated the Administrative Rules 193F to maintain compliance with the Appraiser Qualifications Board. The Board has made substantial changes to many chapters. It is your responsibility to know these changes. You may track administrative rules that are in the process, via the link at <a href="https://www.legis.iowa.gov/law/administrativeRules/tracker">https://www.legis.iowa.gov/law/administrativeRules/tracker</a>. Remember, ignorance is NOT bliss when it comes to compliance with the Board.

# Your Appraiser Education is Your Responsibility

Remember it is YOUR responsibility to verify that the continuing education courses you take are approved by the Iowa Real Estate Appraiser Examining Board. A complete list of approved Iowa appraiser courses can be found at https://plb.iowa.gov/iowa-approved-courses. If a course has been approved by the AQB's CAP program it is automatically accepted. If a course is approved by the appraiser board in the state which the course was taken it will also be accepted in Iowa. If it is not approved by any of these sources, you must apply for pre-approval or post-approval credit as outlined in Administrative Rules 193F-11.11. These rules can be found on a link from https://plb.iowa.gov/board/real-estate-appraisers.

# AQB Exposure Draft on Background Checks Released

The Appraiser Qualifications Board (AQB) recently issued another exposure draft on background checks and Guide Note 9. All interested parties are encouraged to comment in writing to the AQB before the deadline of March 16, 2015. Respondents should be assured that each member of the AQB will thoroughly read and consider all comments.

You may find the 5<sup>th</sup> Exposure Draft and AQB contact information at <a href="https://appraisalfoundation.sharefile.com/download.aspx?id=s1a133672f084dddb">https://appraisalfoundation.sharefile.com/download.aspx?id=s1a133672f084dddb</a>.

# Real Estate Appraiser Examining Board Discipline

The case listed below has been summarized. You may read the entire order on the board's website at <a href="https://www.plb.iowa.gov/real-estate-appraisers/disciplinary-index">www.plb.iowa.gov/real-estate-appraisers/disciplinary-index</a>.

#### **Formal Hearing**

## 14-29 & 14-30 Rash, Jack (Non-certified) Raymond, IA

Rash was charged with preparing and submitting multiple residential appraisal reports after he received notice of the revocation of his certificate in January 2014. Rash repeatedly represented himself to be a certified residential real estate appraiser with an active certificate, a violation of lowa Code section 543D.15. A formal hearing was conducted by the Board on November 17, 2014. The preponderance of evidence established that Rash prepared and submitted at least 44 residential reports after his notice of revocation. It was therefore ORDERED that Rash 1) immediately CEASE AND DESIST holding himself out as a certified real estate appraiser in the State of lowa. 2) pay a civil penalty totaling \$44,000 within thirty days of the issuance of the Order. 3) Board staff shall promptly notify the Real Estate Commission of this enforcement action against Respondent. 4) Further violations of lowa Code chapter 543D by Rash will result in his referral for criminal prosecution and/or the filing of an application for an injunction in district court

# **Real Estate Commission**

# **Commission Update**

Licensing Totals - 11,044 Individuals Licensed

		<u>Brokers</u>		<u>Broker</u>		<u>Salesp</u>	<u>eople</u>
	<u>Firms</u>	Active	Inactive	Active	Inactive		
As of January 31, 2015	1,245	3,425	535	5,507	1,577		

## 2014 Summary of Investigations/Contested Case Hearings/Disciplinary Actions:

<b>2014 Totals</b>
250
238
91
26
5
4
3
\$107,450
256
2
1
2 (14 Months)
3 (16 Months)
1
3

Please contact realestatecommission@iowa.gov or (515) 725-9035 with any questions.

## From the Trust Account Auditor

## **Broker's Equity**

Broker's equity is a term most frequently used to describe the broker's personal funds which are maintained in the trust account. These funds are intended to be used by the broker to pay for expenses directly related to maintaining the account (monthly service charges, check printing charges, insufficient fund check charges, etc.).

The broker should verify the following:

- Is the broker's equity being posted on the sub-ledger and the general ledger?
- Is the broker's equity being updated on a monthly basis?
- Is the broker's equity a negative balance?
- Is the broker's equity \$500.00 or less?

Upon notification that the broker's personal funds are not sufficient to cover service charges initiated by the bank that are above the normal maintenance charges, the broker shall deposit personal funds to

correct the deficiency within 15 calendar days of the closing date of that bank statement.

## **Earnest Money**

193E—13.1(543B): "All earnest payments, all rents collected, property management funds, and other trust funds received by the broker in such capacity or broker associate or salesperson on behalf of the broker's client shall be deposited in a trust account maintained by the broker in an identified trust account, with the word 'trust' in the name of the account, in a federally insured bank, savings and loan association, savings bank, or credit union located in lowa and, for the purposes of this rule, may be referred to as the 'depository.'"

Please note: No funds shall be disbursed from the trust account prior to the closing, or other than as provided by the terms of the escrow agreement, without the consent of all parties per 193E 13.1(8).

The broker should verify the following:

- Was the earnest money deposited in the trust account?
- Was a deposit slip filled out?
- Was the earnest money deposit recorded on the general ledger?
- Was a sub-ledger created?
- After the last signature of acceptance of the offer to purchase, rent, lease, exchange, was the earnest money deposited in the trust account within five (5) banking days? If not, document the reason.
- Was the earnest money taken out prior to closing?

# **Lapsed Licenses**

AJI OF IOWA, LLC A FLORIDA CORPORATION	N F05330000	FARM AND REC PROPERTIES OF MISSOURI LLC		
ALFA VALLEY, INC.	F03592000		F05541000	
ALL STATE REALTY, INC.	F03591000	FIRST CHOICE REALTY, LLC	F05123000	
ALLAMAKEE REALTY, LLC	F05118000	FIRST EXPRESS SERVICES GROUP	F02925000	
ALLEN PROPERTY MANAGEMENT LLC	F04698000	FIRST SECURITY BANK & TRUST CO.	F05380000	
B & L EQUITY LLC	F05388000	FIVE STAR REAL ESTATE GROUP, INC.	F04208000	
BANK OF AMERICA, N.A.	F02408000	G M FARM SERVICE INC	F00488000	
BLECKER REALTY, INC.	F05117000	GENTRY REALTY COMPANY	F02945000	
BRYLMAC REAL ESTATE, INC.	F05050000	GOODALL PROPERTIES, LLC	F05569000	
BUSS PROPERTIES	F05581000	GREENE FARM MANAGEMENT SERVICE, IN	С.	
CAMPUS ADVANTAGE, INC.	F05063000		F04738000	
CENTRAL IOWA REALTY AND APPRAISAL	SERVICE, LLC	HALEY REALTY, INC.	F04692000	
	F05336000	HAYES REAL ESTATE, INC.	F03865000	
CENTURY 21 PREMIER ASSOCIATES, INC.	F05049000	HELLO NEWMAN, INC	F05386000	
CHARLIE WALKER, INC.	F01812000	HOOVER REAL ESTATE COMPANY	F02829000	
CM HOLDINGS, LLC	F05547000	HUNTING COUNTRY REAL ESTATE LLC	F05545000	
COFFMAN FARM AGENCY, LLC	F04342000	INLAND REAL ESTATE BROKERAGE & AUCTI	ONS, INC	
CORMAC COMPANY	F04670000		F05555000	
CORNWELL REALTY LTD	F02014000	ITS YOUR MOVE REAL ESTATE LLC	F05558000	
COUNTRYVIEW REALTY, INC	F05559000	JESSE MC INTYRE REALTORS	F00918000	
DANIEL B. KATZ & ASSOC. CORP.	F05378000	LEA BANNER, INC.	F04786000	
DENNIS MARTIN CPA, PC	F03908000	LENDINGTREE, LLC	F04321000	
EASTERN IOWA REAL ESTATE, LLC	F05345000	LOESS HILLS REALTY & AUCTION, LLC	F05331000	
EASTERN IOWA REFERRAL COMPANY, LLC	F05577000	MAQUOKETA STATE BANK	F05334000	

MCROBERTS &	ASSOCIATES, LLC		F05077000	SCHUMANN RE	ALTY INC		F04356000
MID-AMERICA	REAL ESTATE - IOV	WA, LLC	F05351000	SMED AND ASS	OCIATES REALTY,	LLC	F05381000
MILLER SERVIC	ES L.C.		F03829000	TEAM REAL EST	ATE, LLC		F05550000
MULTIFAMILYT	TECHNOLOGY SOLU	JTIONS, IN	C.	TH&B INC.			F05377000
			F05105000	THE WEBB AGE	NCY INC.		F01825000
PARAMOUNT I	MANAGEMENT GR	OUP, INC.	F03599000	THIES ENTERPR	ISES LTD		F01352000
PREMIER PART	NERS, LLC		F03909000	W.T.F. ASSOCIA	TES, INC.		F04674000
QUAD CITY HO	MES, INC.		F05574000	WATTS REALTY,	, INC.		F05364000
RACEBROOK N	IARKETING CONCE	PTS LLC	F05390000	WEINDRUCH M	EADE INC		F01458000
RE/MAX AFFILI	ATES, LLC		F05325000	WILLENBRING F	REALTY INC.		F04168000
REALTY ONE G	ROUP, LLC		F05074000	WORTHINGTON	I INSURANCE REA	AL ESTATE	F01508000
ROCKWOOD- A	ADC, LLC		F05563000	WRIGHT REAL E	STATE, INC.		F03817000
SAVILLS STUDL	EY, INC.		F05054000	YOUNG DEVELO	PMENT COMPAN	NY, L.C	F03603000
Firm Branch	Offices						
AJI OF IOWA, L	LC A FLORIDA COR	RPORATION	I F05330001	IOWA REALTY C	O INC		F00710077
•	NKER MID-AMER			IOWA REALTY C			F00710079
			F02963017	IOWA REALTY C			F00710080
DMH, INCORPO	DRATED		F02914001	IOWA REALTY C			F00710082
FUSION REAL E			F05100004		ALTY & AUCTION,	LLC	F05331001
	E REAL ESTATE GR	OUP. LLC			ALTY & AUCTION,		F05331002
GOODALL PRO		00., ==0	F05569001		AL ESTATE SALES,		F00356007
	STATE AND INSUR	ANCE INC			L ESTATE SALES, I		F00356013
GUSTAFSON RI		., to <u>L</u> , to	F05137002	ONE REALTY CE	•		F04776004
	MANAGEMENT INC		F00612009	PATRIOT REALT	•		F04189001
	MANAGEMENT INC		F00612010		OCIATES REALTY,	IIC	F05381002
	MANAGEMENT INC		F00612015		ART REAL ESTATE		F04249001
IOWA REALTY		•	F00710065	TRADING PLACE		, IIVC.	F05349001
IOWA REALTY			F00710003	TRADING PLACE			F05349001
			100/100/1	THE TEXT	13 NE/NETT, INC		103343002
Brokers							
AASTRUP	JEANNE	B32035		COLLIER	PHYLLIS	B37379	
AL KATHERI	ALADDIN	B61423		COLLINS	GEORGE	B24840	
ANDERSON	RUTH	B18212		COLLMANN	ROLLAND	B03823	
ARENDT	JACK	B37518		COLTON	GLEN	B60180	0000
ARENS	MARIAN	B09529		CRETSINGER	SAMUEL	B03944	
ASHMORE	CATHY	B21220	000	CULBERTSON	DOROTHY	B03958	8000
ATTWOOD	SALLY	B40042		DALLENBACH	ROBERT	B08642	.000
BABCOCK	GERALDINE	B16577	000	DAVIS	CAROL	B03990	0000
BACHMAN	BRETT	B42839	000	DAVIS	MARI	B39661	.000
BAKER	JOEL	B34503	000	DELANEY	PATRICK	B00591	.000
BARNETT	LARRY	B32642	000	DICKSON	BONNIDEEN	B36047	000
BEAL	JANICE	B30502	000	DIETZ	RAYMOND	B20736	000
BELL	KATHRYN	B43505	000	DONOHOE	REBECCA	B37359	000
BEMBENEK	ROBERT	B36418	000	DOYLE	CAROL	B08921	.000
BISHOP	JAMES	B03566	000	DOYLE	DENNIS	B43452	.000
BLACK	GARY	B32453	000	DRZYCIMSKI	BRIAN	B31103	000
BLECKER	REBECCA	B39816	000	DUNCAN	PAUL	B04103	000
BLUE	VERYL	B32972	000	DUNHAM	JAMES A	B43313	000
BRADLEY	JANE	B20635	000	EARNEST	JAMES	B17297	000
CARRINGTON	MICHELLE	B39630	000	EATON	GLENN	B08353	000
CARSON	NANCY	B35950		ELBERS	EDWIN	B35212	
CASTLE	DANIEL	B38364	000	ENGELSMA	DANIEL	B37760	
CHAUDHRY	LALITA	B57994		ENWRIGHT	KIRK	B37710	
CLAYTON	MARVIN	B00451		EVANS	RUTH	B06231	
COHEN	WENDY	D40060		EVANC	MAV	D20269	

**EVANS** 

MAX

B20268000

B40868000

COHEN

WENDY

FAIRBANKS	GARY	B38450000	LYMAN	CONNIE	B01704000
FOWLER	MICHAEL		MADSON		B05385000
		B57118000		CHARLES	
FOWLER	PATRICIA	B58731000	MANGOLD	JOANNE	B28464000
FREY	LONNIE	B32352000	MANUEL	J	B05204000
FRIEST	JAMES	B10102000	MARTER	WAYNE	B41148000
GARDNER	JULIA	B60228000	MARTIN	VALENTINA	B05435000
GATENS	MICHAEL	B21507000	MAURO	MICHAEL	B05454000
GOLDBERG	MARK	B59957000	MC ALEER	HUGH	B01778000
HAGEMEIER	RICHARD	B04493000	MC ANINCH	SHAWN	B41115000
HANSEL	DAVID	B10941000	MC ELMEEL	JOHN	B12869000
HARVEY	THOMAS	B36051000	MC SORLEY	MARVA	B12951000
HAYES	CURTIS	B11094000	MEADE	JAMES	B24389000
HAYWOOD	ROBERT	B57741000	MEANS	SCOTT	B05562000
HEIKKILA	TEIJA	B60219000	MEIERHENRY	JANE	B58847000
HESSE	JOANN	B08794000	MELICHAR	LARRY	B56990000
HESSELMAN	BECKY	B35103000	MILKS	NATHAN	B57068000
HITE	STEVEN	B36111000	MILLER	ERRAN	B56219000
HOFFMANN	HAROLD	B11334000	MOHLIS	TRISHA	B35376000
HOLTZ	HELEN	B09164000	MOREHEAD	GREGORY	B05666000
HOLUB CARLSON	I SHARON	B6000000	MORRIS	JOANN	B35001000
HOWELL	IRIS	B27841000	MULBROOK	JOYCE	B22765000
HUDGENS	ROBERT	B04771000	NIEMANN	DAVID	B05769000
HUSTON	GENE	B28161000	O'BYRNE	HUGH	B05810000
HYKES	KENNETH	B11562000	OELLRICH	KEELY	B60151000
IUTZELER	JANELLA	B13047000	ОТТО	PHYLLIS	B05853000
JOHNSON	ALAN	B04887000	PADAVICH	SHERRY	B36234000
JOHNSON	BRIDGETTE	B35533000	PARTLOW	BROCK	B61609000
JOHNSON	EMILY	B56960000	PECKENSCHNEID		B23424000
JOYNT	DEBRA	B59885000	PEDIGO	LOIS	B05907000
KAHLE	SARA	B37604000	PEETERS	DANIEL	B13824000
KARNS	CARLA	B31125000	PETERSEN	JOANN	B13824000
KEEFE		B42974000	PETERSON		
	DENNIS			MELANIE SUE WHITNEY	B26900000
KEHR	LOIS	B04971000	PEYTON		B35045000
KILEY	LADAWN	B42632000	PICK	DANIEL	B02198000
KING	VELDON	B01470000	POWELL	LESTER	B14007000
KLEIN	ROBERT	B01483000	PRUNTY	BURTON	B44778000
KNICKERBOCKER		B37187000	PUTNEY	TIMOTHY	B17654000
KOEDAM	DAVID	B32933000	QUELLA	MARY CLAIRE	B59927000
KOOS	GILBERT	B60051000	RAUS	EDITH	B02280000
KRUSE	RICHARD	B12186000	REDDICK	GREGORY	B38171000
KUTZBACH	KEITH	B28827000	RICHARDS	ARLO	B32367000
LANDIS	CAROL	B34693000	RIEGER	JOHN	B15501000
LARSEN	DAVID	B05222000	ROGERS	PAUL	B61541000
LARSON	MATT	B40863000	ROMIG	DONALD	B31881000
LAURITZEN	PENNY	B34810000	ROYER	BILL	B13482000
LAWLER	JOHN	B12338000	RUHL	CHARLES	B08199000
LE GRAND	GLENN	B01608000	RUHL	JENNIFER	B44012000
LEAVENGOOD	JOHN	B12357000	RYDRYCH	CHRISTOPHER	B61783000
LEIST	DOUGLAS	B27994000	RYE	STEVE	B31346000
LELICH	THOMAS	B61717000	SADOFSKY	RODNEY	B33963000
LESLIE	TODD	B38800000	SAMRA	JAY	B28143000
LICHTER	PATRICK	B26936000	SANDERSFELD	BARBARA	B08227000
LIPPON	DORIS	B40299000	SCHELL	JERRY	B57186000
LOGSDON	RICK	B01663000	SCHMINKE	MARION	B16814000
LOURENS	DERREL J	B40794000	SCHMINKE	BRETT	B21351000
LOWRY	DORIS	B29193000	SCHULZE	NANCY	B16690000

SCHUMANN	DALE ALAN	B41033000	TIBBLES	JAMES	B20283000
SCHWAB	MARCIA	B16850000	TJADEN	WILLIAM J	B29087000
SCHWAB	THOMAS	B16851000	TOMPKINS	LYLE	B02806000
SCOTT	CHRISTOPHER	B59568000	TU	XIAOFANG SEAN	
SEID	WILLIAM	B07756000	TUCKER	<b>IRVIN TOMMY</b>	B16445000
SHELDON	JOHN	B41075000	TURNER	C. CHRISTOPHER	B44584000
SHEPHERD	EVERETT	B25501000	TUSA	JODI	B58028000
SHORT	TANI	B32408000	VASEY	PATRICIA	B17430000
SITZMANN	NANCY	B18945000	VASQUEZ	PATRICK	B58280000
SKAARE	KENDAL	B60044000	VOGE	PATRICK	B38182000
SLATTERY	THOMAS	B26095000	WAFFLE	KRISTI	B56664000
SMITH	ROBERT	B16997000	WALKER	CHARLES	B02913000
SMITH	JOSEPH	B34155000	WARNER	MARY	B33048000
STANSBURY	HOLLY	B38619000	WASHINGTON	ETHEL	B20089000
STANTON	JACOB	B58532000	WEINER	ALVIN	B16617000
STEBURG	LINDA	B44379000	WELTER	MELVIN	B21024000
STEELMAN	E GENE	B17056000	WESTERGARD	KIRK	B35380000
STEFFE	ERIC	B61560000	WESTPHAL	JOHN	B16654000
STEFFENSEN	NANCY	B06670000	WHEELER	TIMOTHY	B61383000
STEGEN	HARLEY	B15034000	WIEDEMEIER	PAUL	B31890000
STEIL	HOWARD	B30719000	WIESE	MICHAEL	B34620000
SUNDERMAN	DARRELL	B23573000	WITTMANN	FREDERIC	B61540000
THIELE	RONALD	B19101000	WRIGHT	BARBARA	B35626000
THIES	EARL	B16381000	WRIGHT	KENNETH E.	B43464000
THOMAS	SCOTT	B36888000	YOUNG	WILLIAM	B40294000
THOMAS	GREG	B61668000			
<b>Broker Branc</b>	h or Additiona	l Broker Officer			
BAKER	JOEL	B34503001	LUFT	WENDY	B58662001
BARNETT	LARRY	B32642001	MANGOLD	JOANNE	B28464001
BELLAND	CINDY	B35515001	MEASE	DEANN	B41608001
BOLEY	BARBARA	B32480001	MEIERHENRY	JANE	B58847001
BROWN	BLAKE	B45068001	MELICHAR	LARRY	B56990001
BROWN	BLAKE	B45068002	MELICHAR	LARRY	B56990100
BULLARD	HEATHER	B59963001	MIEHE JR	FRED	B05596001
BURMESTER	LORI	B58105001	MOON	TRESA	B36125001
CAREY	THOMAS	B03713001	MOSLEY-JOHNS	ON DORIS	B05684001
CASTLE	DANIEL	B38364001	MOSLEY-JOHNS		B05684002
COLLMANN	ROLLAND	B03823001	OBRECHT	JEFFREY	B18885001
COX	TARA	B42943001	PRINS	JERI	B35227001
CRAWFORD	GEORGE	B18323001	RAINEY	FRANCES	B02267003
DE BRUIN	DYLAN	B43987003	RAINEY	FRANCES	B02267004
DONOHOE	REBECCA	B37359001	REMER	GEORGE	B23571002
DUFF	ROBERT	B37978100	REMER	GEORGE	B23571003
FATINO	MARSHELLE	B31229002	RIEDMANN	MICHAEL L	B22081100
FICK	ROBERT	B04230100	RIEDMANN	MICHAEL L	B22081200
GELLERMAN	DAVID	B41332100	RIEDMANN	MICHAEL L	B22081300
GENO	LYNN	B38707003	RIEDMANN	MICHAEL L	B22081500
GOLL	DORIAN	B19351002	ROY	BABETTE	B10859005
GRABE	WILLIAM	B25362002	ROY	BABETTE	B10859006
GROVE	PATRICIA	B17323001	RUESS	VONDA	B22103002
HILAND	KIRK	B11254001	RYAN	PATTI	B37351002
KIMBALL	RICHARD	B31786001	SALEM	RICHARD	B08220100
LARSEN	CONNIE	B36913001	SANDERSFELD	BARBARA	B08227001
LARSON	NICOLE	B41478001	SEEBANDT	GARRET	B43537001
LINCOLN	DONALD	B39299001	SMITH	STEVEN	B14903002

SPENCER	RALPH	B14956003	TORRES	SHANE	B59979001
SPENCER	RUTH	B29981001	WIEDENMAN	BARBARA	B45118003
SPENCER	RUTH	B29981002	WIEDENMAN	BARBARA	B45118004
STEELE	LYNN	B37677004	WINTER CALLAH	AN DEBRA	B43172001
STEELE	LYNN	B37677100	WOODS	LONNA	B41271001
STEFFE	ERIC	B61560100	YELLAND	DANIEL	B41523001
Salespersons					
•	ACLUEV	564600000	DD O WAL	DUICCELL	CE0042000
ADAMS-GANSEN		S61608000	BROWN	RUSSELL	S58012000
ALLEN	CASSIDY	\$60088000	BRU	DIANE	S37741000
ALTMAN	GARY	S58047000	BRUMMELL	GEORGE	S59910000
AMJED	RIZWANA	S40367000	BUCKHEISTER	ANN	S28176000
AMOS	AARON	S61572000	BUNKER	THERESA A	S43643000
ANNETT	SANDY	S60091000	BURBACK	DONNA	S35193000
ATHENS	JENNIFER	S40555000	BURNETT	ROBERTA	S37970000
AUSMAN	TRACY	S61399000	BURT	JAMES	S60218000
BAILEY	TRAVIS	S61282000	BUTLER	WILLIAM	S60062000
BALDWIN	KAYLA	S61524000	BUTLER	SUSAN	S60176000
BALL	JASON	S61279000	BUTTERBRODT	LYNN	S28819000
BARGER	LINDA	S35612000	BUTTERFIELD	SHARON	S61290000
BAUER	CHRISTOPHER	\$60009000	CALHOUN	ELIZABETH	S59964000
BAZAL	PATRICIA A	\$40558000	CAMP	JULIE	S56509000
BEAUBIEN	SHAWNA	S57403000	CANNON	ANGELA	S61553000
BEHR	EDWARD	S58999000	CARLOS	VICTORIA	S57439000
BELVILLE	DARRE L	S35090000	CARMICHAEL	SHAWN P.	S39698000
BENDICKSON	DAVID	S58091000	CASTELLI	LONNIE	S57227000
BENZING	JANETTE	S24448000	CAVINESS	BRETT	S60182000
BERGMAN	ANNASTASIA	S61267000	CHADIMA	THOMAS	S31664000
BERMEL	CAROL J.	S40162000	CHAPMAN	AMY	S57083000
BERRY	ALEX	S61798000	CHIODO	DEBRA	S61378000
BESCH	DONALD	S37626000	CHRISTENSEN	JACOB	S61268000
BIBLE	NICOLE	\$60059000	CHRISTIANSON	ERIC	S61298000
BICE	JAMES E	S44295000	CHRISTOPHER	DEBRA	S61690000
BILLINGS	KIMBERLY L	S44184000	CINI	DEBORAH	S10451000
BILTERMAN	SHARON	S37952000	CLARK	KATE	S43671000
BISHOP	DONALD	S61401000	CLEVERINGA	DAVID	S34653000
BIVENS	ELLEN	S28506000	CLINE	SALLY	S28645000
BLECHA	SUSAN	S30240000	CONKLU	RONA	S38093000
BLOCKER	PAULA	S59844000	CONRAD	GERI	S40801000
BOECKER	ANTHONY	S59932000	COOPER	KELLI	S57601000
BOLDT	BREANNE	S61429000	COPPOCK	CINDY	S24371000
BOLDT	MARK	S61735000	CORKREAN	PATRICK	S61439000
BOLEN	C WILLIAM	S09128000	CRAMBLIT	MARILYN	S24573000
BONDY	GLORIA M	S41006000	CRIBB	JEREMY C	S43549000
<b>BOWERS-SMITH</b>	HEATHER	S58090000	CRIPE	ZACHARY	S61351000
BRANDT	MARK	S61480000	CROOKSHANKS	DAVID	S43973000
BRANT	RACHEL	S60156000	CROWNOVER	SHANNON	S61362000
BREMER	LARRY	S61683000	CURTIS	DANILLE	S58117000
BRESSON	JANICE M	S43407000	CUTSFORTH	BERNARD	S08620000
BREWER	MELISSA	\$57710000		ENBRINK JEANNE	
BRIETZKE	SONIA	S61386000	DAVIS	CRAIG	S24168000
BRINKMEYER	PATRICIA	S57533000	DAVISON	RAY R	S27692000
BRONNER	JUDITH	S31831000	DAWSON	LINDA	S61331000
BROWN	DELMER	S37550000	DEBRUIN	MATTHEUS	S61567000
BROWN	KRISTIN	S40758000	DECKARD	REX	S57885000
BROWN	STEVEN	S43560000	DEGROOT	PATRICK	S60015000
DIVO AN IA	JILVLIN	J-13300000	DEGROOT	TATRICK	200012000

DEJONG	TIFFANY	S43495000	GENTNER	LAURIE	S61265000
DELL					
	TERRANCE	S35354000	GERGENI	MARY	S38193000
DEMOSS	MICHAEL	\$58479000	GIBBS	JAMES	S20015000
DEMRY	WAYNE	S08772000	GIENAPP	JUSTIN	S57809000
DEN HERDER	PAM	S43862000	GIVANT	ALAN	S57492000
DERBY	ASHLEY	S61624000	GLIENKE	DALE H.	S40189000
DEREN	LINDA	S27980000	GOBELI	DELON	S38365000
DHARSS I	NIMIRA	S60128000	GOODALL	CHARLES	S59798000
DICKERSON	RITA	S34871000	GOODMAN	SHARON	S35541000
DITTMER	BARBARA	S40790000	GORDY	KANDACE	S58444000
DIXON	MARY	S20446000	GRAHAM	SAMANTHA	S61519000
DONALDSON	SANDRA K	S44239000	GREEN	KRISTINA	S61640000
DOWELL	GERALD T	\$43563000	GROSS	ANGELA	\$59998000
DRAYER	CHRISTINE	S61589000	GROUT	JESSICA	S57909000
DUFF	DREW	S59977000	HALEY	CHRISTIAN	S43863000
DUNAWAY	KRISTA	S61503000	HAMPTON	SALLY	S32089000
DUNFORD	TODD		HANKEMEIER	STEPHANIE	S61605000
		S61696000		_	
DUNN	DIRK	S23481000	HANNA	RANDALL	S27753000
DUNSBERGEN	BERYL	\$57450000	HANSCH	TERESA J	S40878000
DURLIN	SUSAN	S58013000	HANSEN	KAJUN	S61354000
EENHUIS	STEPHANIE A	S41023000	HARAPAT	EDWARD	S37793000
EICKHOFF	JULIE R.	S40449000	HARDEN	WILLIAM	S61633000
ELLARS	TAMARA	S31766000	HARGRAVE	ANTHONY	S60220000
ELLARS	DENNIS	S35658000	HARING	JODY	S57927000
EMERY	BROCK	S57728000	HARM	EUGENE	S60240000
ENOS	WESLEY	S61436000	HARMS	JOYCE	S57255000
ERVIN	BOBBIE	S44034000	HARMS	DONALD	S60249000
ESSING	TODD M	S40515000	HARRISON	ELISABETH BETS	Y S44128000
EVANS	JACQUELINE K	S31414000	HART	DONALD	S34738000
EVANS	JASON	S61682000	HART	TIMOTHY	S37924000
EVERETT	ANNE	\$61789000	HARTMAN	ELIZABETH	S61745000
EVINS	CARRIE	\$57338000	HATCH	STACIE	\$58027000
FARBER	PHYLLIS	S31672000	HAWN	DIANE	S34862000
FELDERMAN	MICHAEL	S60105000	HAYNES	BRENT	S57676000
FENTON	JOEL	S57428000	HECK	KEVIN	S37120000
FINCK	LEONARD	S32214000	HEITHER	PEGGY	S37687000
FINDLEY	THERESA	S57681000	HELLAND JR.	PAUL	S61516000
FINN	DAVID	S61474000	HEMMEN	MARILYN	S35580000
FLETCHER		S38291000			S60026000
_	LARRY APRIL		HENRY	TAMMY	S61457000
FONSECA		S60134000	HENRY	LAURA	
FORD	JEAN	S40782000	HERROLD	CHARLES	S11207000
FOWLER	DENISE	S57520000	HESSENIUS	DANA	S61468000
FRAZIER	CINDY	S61446000	HIGGINS	GERALD	S58045000
FRIEND	SHERRY	S61588000	HILDENBRAND	TERESA	S43532000
FRITTS	LINDA	S35088000	HILEMAN	CALVIN	S40377000
FULLMER	ANGIE	S61333000	HINDMAN	EDIE	S57612000
FULTON	HEATHER	S35374000	HINKEL	SHERRI	S44171000
GABELLA	CYNTHIA	S59886000	HISEROTE	CHANTHALA	S60057000
GALLAGHER	JAMES	S37773000	HOCHSTEDLER	KEVIN	S38208000
GANSEN	KYLE	S61601000	HOCHSTEDLER	MARY	S38209000
GARDNER	MARY ROSALEE	S32222000	HOCKETT	DAWN	S57165000
GARNAAS	FAITH	S61342000	HOLLAND	ROBERT	S19803000
GATES	RITA R	\$43707000	HOLMQUIST	DOROTHEA	S20561000
GAVIN	THOMAS	S43539000	HOLST	DAWN	S57301000
GEHRELS	PATRICIA K	\$43654000	HOOGEVEEN	RAYMOND	S35213000
GEIS	ROSS	S61645000	HOOK	MARGARET	S37951000
		-			

HOODED	DALILA	561566000	KITEDLED	CHAD	CC1747000
HOOPER	PAULA	S61566000	KUEBLER	CHAD	S61747000
HOOVER	CYNTHIA	S61510000	KUNDEL	KEVIN	S43553000
HOPF	BRIAN	S61347000	KUTZBACH	DIXIE L	S43816000
HORAN	DAVID	S43676000	LAMPORT	LYNDA	S57697000
HOULIHAN	SARA	S60069000	LANGE	TWYLA	S61379000
HUDSON	GAYLA	S60185000	LANGENFELD	MAXINE	S32363000
HULETT	BETH	S43415000	LANGFORD	DESHANA	S61349000
HUNTER-MILLER	PAULA	S38154000	LARIMORE	RONDA	S61634000
HUSMANN	LARRY	S57667000	LARSEN	NANCY	S57349000
IMHOF	JANET	\$58126000	LARSSEN	LESLIE	S31955000
IMMING	REBECCA	S28566000	LASACK	DUANE	S57544000
IRELAN	DONALD	S34508000	LASSAUX	JOHN	S59949000
IRELAND				ROGER	
	JANE	S37944000	LEE		S61288000
JENKINS	AMANDA	S43228000	LEIGH	LARRY D	S43928000
JENNINGS	SHARON	\$38131000	LEISEN	TAMMI	S43620000
JENSEN	GRETTA	S61642000	LENIUS	MARK	S58079000
JESTER	CHARLES	S61701000	LINDEMAN	DEBRA	S57799000
JOHNSON	BONNIE	S37660000	LINN	KATHY	S59039000
JOHNSON	AUBREY F	S43289000	LISCHER	JOHN	S61364000
JOHNSON	MATTHEW	S57672000	LIVINGSTON	PATRICIA G	S44135000
JOHNSON	PATRICIA	S57963000	LOEFFELHOLZ	JOANN	S37907000
JOHNSON	JOAN	S58113000	LOGAN	L. JEAN	S28044000
JOHNSON	CORY	S61304000	LOKEN	PHILLIP	S34969000
JOHNSON	KAREEN	S61506000	LORENZ	TOM	S43743000
JOHNSON	NICHOLETTE	S61664000	LOYNACHAN	BRIAN	S61699000
JONES			LUKE		
	GERALD	S11789000		DOUGLAS	S59896000
JONES	JAMES L	S44055000	LUNDH	MATTHEW	S61337000
JONES	ANGELA	\$59855000	MAALAND	KRISTINA	S40964000
JONES	CHRISTINE	S61558000	MALLOY	CARLA	S40180000
JONES	DOUGLAS	S61779000	MARQUART	LESLIE	S37735000
JUMPER	LAURA	S60211000	MARTINEZ	DYLAN	S61472000
JURIK	KRISTIN	S34914000	MAXWELL	RON	S57449000
KADING	BEVERLY	S19222000	MAYNES	MELISSA	S31861000
KELCHEN	BRANDI	S57260000	MC CABE	JACKIE	S57478000
KENNEDY	AUDREY	S37963000	MC CREA	ROD	S40504000
KENNER	CHERRAY	S40257000	MC DONALD	TED	S23502000
KILLION	HOWARD L	S43827000	MC GUIRE	DIANNE	S35504000
KIMBERLIN	SHARON	\$57638000	MC GUIRE	LORI	S40550000
KING	WAYNE	\$17989000	MC LAUGHLIN	JANET K	\$40533000
KLEIN	MICHAEL	S28245000	MC MILLEN-BOESE LISA		S31680000
KLUVER	JEFFREY C	S43389000	MCCLEARY	JILL	S59984000
KNICKERBOCKER					
		S43636000	MCCLURE	ANGELLA	S59951000
KOERSELMAN	JOHN	S59841000	MCEVOY	CHARITY	S60109000
KOLACIA	JASON	\$60139000	MCFALL	LEE	S61371000
KOLTHOFF	KOLLAN	S61281000	MCKIBBEN	MICHELE	S60063000
KOPPEN	DEAN	S40227000	MCLAREN	ANGELA	S60087000
KOPSA	WALTER	S24578000	MEEKER	DINA	S38293000
KRACHT	TONYA	S61272000	MELENEY	ROBERT A.	S39834000
KRALL	BARBARA	S61669000	MEYER	JAMES	S61725000
KRAUS	WALTER S	S40771000	MICKEY	PETER	S61671000
KRECEK	JOHN	S61800000	MILLARD-BALLIN	GER SHARI	S40917000
KREITZER	JANET	\$12146000	MILLEDGE	SALLI	S43312000
KREMER	MANDI	S61275000	MILLER	ANDREA	S57489000
KRUGER	MICHAEL	S36805000	MINEAR	SCOTT	S61611000
KUDRON	GREG	S57195000	MIXDORF	SUSAN	S61782000
KUDRON	GREG	S61314000	MOES	SHAWN	S57421000
KODKON	JILLO	201314000	IVIOLO	SIIMVVIV	337421000

MONGIAT	ALEXANDER	S60197000	REINHART	JANELL	S34889000
MOORE	CLARA	S43558000	REISETTER	KAREN	S34501000
MORGAN	JENNIFER	S43349000	RENAUD	BLAKE	S61539000
MORGAN	MACKINLEY	S57844000	REYES	ANDREA	S61764000
MORIARITY	MOLLY	S61504000	RICHARDS	JARID	
MORRIS J	ENNIFER		RIETEMA	KURT	S61375000
		S61295000			S60179000
MORTIMER	MELANIE	S61733000	RINEHART	TANNER	S61382000
MOSELEY	LISA	S60168000	RINGNELL	CHARITY	S60213000
MUELLER	MARY	S40440000	RINNELS	LAURA L.	S40247000
MULLANE	JOHN	\$44298000	ROBB	JAMES	S59865000
MUNIZ-HINOJOS		\$58054000	ROBERTS	DAVID	S61454000
MURPHY	FRANK	\$57771000	ROBERTS	AMY	S61550000
MURTY	BRADLEY	\$37865000	ROBERTSON	LORI	S57757000
MYERS	JOSHUA	S61650000	ROECKER	ORVAL	S34494000
NADING	COLLEEN	S38771000	ROGERS	KATIE	S61612000
NELDEBERG	JOHN E	S43300000	ROLLO	DIANE	S59876000
NEMITZ	BARBARA	S28721000	ROPP	SHIRLEY	S13444000
NEVITT	TRICIA	S57974000	ROSE	DON	S61709000
NEWCOMB	CAROLYN	S59889000	ROSSMAN	FELICIA	S61641000
NIBLO	JAIME	S61291000	ROSSOW	JEANNIE	S61363000
NIELSEN	STEVEN	S57522000	ROZENDAAL	SETH	S61718000
NIEMANTS	KATHRYN	S43232000	ROZIER-SHEEHAI	NMICHEL	S35300000
NIICHEL	GARY	S61285000	RUBNER	JANE	S43575000
NOE	KIMBERLY	S60172000	RUESCHHOFF	CRAIG	S57453000
NOLAN	ANDREA	S61321000	RUGLAND	ARLOW	S30366000
NORLAND	GWEN	\$38059000	RUITER	LISA	S40495000
NORRIS	WAYNE	\$14779000	RYAN	MOLLY	S61635000
OBERG	PENNY	\$37819000	RYAN	KENNETH	S61636000
O'BRIEN	TODD	S60232000	RYDEN	SANDRA	S37676000
OLSON	LUANN	S40882000	SALGADO	JOSE	S43859000
OLSON	DANIEL	S43695000	SAMUELSON	TRACY	S57493000
OPPERMAN	RICHARD	S31605000	SCALLON	BERTHA	S27631000
OSBORN	JUDY	S37688000	SCHILLING	KIMBERLY	S59996000
OTT	JOSEPH	S35233000	SCHIRM	JEREMY	S61447000
OWENS	GARY L		SCHLENGER		
		S43982000		JOE E	S40729000
PALMER	MYONG-HUI	\$60029000	SCHMITT	SUSAN	S31922000
PALM-ROSKENS		S32310000	SCHMITZ	DELORES	S40174000
PARKER	MISTY	S59944000	SCHULTE	ZACHARY	S61403000
PARKS	ROCHELLE	S57808000	SETTLES	JESS	S57888000
PARSONS	LINDA	\$28280000	SHANKS	LAURIE L	S40967000
PAULEY	THOMAS	\$19914000	SHORES	BILL	S57096000
PEARSON	LEE	S61330000	SHULTZ	JOE	S61421000
PEHL	STACY	S60075000	SIMMER	STACEY	S61545000
PETERS	KENNIS	S24323000	SIMMONS	STEVEN	S44268000
PETERS	BRENDA	S35329000	SIMPSON	KRISTIN	S37941000
PETERSON	RITA	S13984000	SIVOLA	TINA	S57087000
PHILLIPS	ANDREW	S60037000	SLAUGHTER	GARY	S43587000
PHIPPEN	JEAN	S23992000	SLOAN	TAMARA	S61775000
PLOEGER	BETTY	S59878000	SLUSARCZYK	DEBORAH	S43834000
POND	LAURETTA	S32372000	SMITH	KYLE	S31968000
POST	KELLY	S61318000	SMITH	CHRISTOPHER	S57389000
PRESCOTT	MARILYN	S14022000	SMITH	DUANE	S61722000
RANKIN	GARY	S34858000	SMITHSON	MICHELLE	S57252000
RASMUS	CODY	\$59869000	SORENSON	KENT	S61667000
RECKER	MANDY	S61759000	SPEER	BRIAN	\$59837000
RECKER	MELISSA	\$61760000	SPENCE II	CURTIS	S61471000
					<del>-</del>

STADTLANDER	TODD	S40534000	VEDEPO	SHARON	S61388000
STAMPKA	JASON	S43525000	VEEN	GALEN	S15962000
STANLEY	HALEY	\$61368000	VENARD	HAYLEY	S61372000
STANSBURY	LEE	S57197000	VICE	ALISON	\$60083000
STARCEVICH	DEB	S57115000	VISSER	JOSHUA	S61313000
STARRY	STACY	S59903000	VITZTHUM	CONNIE	S15997000
STEFFENSEN	MARVIN	S24646000	VORMELKER	ANA	S57487000
STEPHENS	DENNIS	S28426000	VYROSTEK	BEVERLEY	S44106000
STEPHENS	BONITA	S28753000	WADDLE	JOHN L.	S40156000
STERBA	BRENDA	S61614000	WAGNER	RANDALL	S61340000
STILLMUNKES	CHELSEA	\$60090000	WAGNER	CAROL	S61419000
STOPULOS	JAMES	S15112000	WAGNER	ASHLEY	S61757000
STOREY	LUCAS	S57383000	WALTERMYER	PATRICIA	S61514000
STREETER	RICK	S57183000	WALTERS	AMY	S43856000
STRUBLE	JEREMY	S61396000	WALTERS	DENNIS	S61303000
STUBER	DOUGLAS				S58098000
	BRIAN	S57620000	WARD	AARON	S34741000
SUNNER SWAICH	HARINDER	S31549000	WAUGH	DARRYL	
		S61698000 S37868000	WEBER	ANTHONY	S58072000 S34595000
SWAIN	GERALD		WEIGEL	DENNIS	
SWALLA	SHERYL	S57680000	WEIGHNER	STEVEN	S61293000
SWANSON	KENNETH	S59866000	WEIGLE	PAMELA	S43242000
SWARTZ	CHRISTOPHER	S59940000	WELLS	ROGER	S34749000
SWEENEY	JENNIFER	S57347000	WEMARK	RHONDA	S61728000
SWIETER	MELINDA	S59840000	WENDT	CECELIA	S57194000
SZCZECH	GERALD S	\$44077000	WESTERGARD	SUZANNE	S40799000
TAYLOR	KARLENE A.	S40301000	WHITE	DARREN	S61402000
THIEME J	ASON	S61705000	WHITHAM	LARRY	S32358000
THOMAS	TYLER	S61370000	WHITTERS	TODD	S37998000
THOMPSON	VICTORIA	S45315000	WILDERMUTH	ELINOR	S57085000
THOMPSON	JASON	S59832000	WILLIAMS	KATHLEEN	S14282000
THOMPSON	AARON	S61518000	WILLIAMS	DEANNA	S43988000
THOMPSON	MARLENE	S61544000	WILLIAMS	LORI	S61501000
THORNBURG	JEREMY JOE	S61392000	WILLIAMS	JULIE	S61707000
THORNTON	ROBERT	S59934000	WILSON	JERRY	S14309000
THOVSON	ALLAN	S58069000	WILSON	LINDSEY	S57899000
TIMION	TYLER	S61692000	WILSON	RANDY	S60215000
TJADEN	BRIAN	S61320000	WILSON	KIMBERLY	S61530000
TORTICILL	LINDA	S57964000	WINTER	PAMELA	S56991000
TOSTLEBE	THEODORE O	S28340000	WINTER	BRENT	S57405000
TRACY	MICHELLE	S61654000	WITTRY	KATIE	S61549000
TROTTER	MATTHEW	S61380000	WOBSCHALL	BENJAMIN	S57825000
TUDOR	REBECCA	S34762000	WOODALL	ANDREW	S60027000
TUFTE	ASHLEY	S59752000	WOODARD	JULIE A	S44244000
TUINSTRA	ELLEN	S44124000	WRIGHT	CLAIRE	S57791000
TULP	KYLE	S61571000	WUEBKER	ANGELA	S60111000
TUTTLE	THELMA	S61515000	WULFEKUHLE	ANN SANDERS	S31412000
TYSON	HARRY	S57070000	WULFF	PATRICIA	S43645000
UPMEYER	ALLEN	S35588000	YARKOSKY	SCOTT	S60244000
VAN BIBBER	LYNN	\$43551000	YATES	LORI	\$60050000
VAN WAARDHUIZEN VALERIE D.		S44280000	ZOOK	STARR	S61542000
VANDER PLAATS MELISA		S43927000	ZYBARTH	DAWN	S61554000
VAUGHN	DENNIS W	S43708000	· · · · ·		

# **Real Estate Commission Discipline**

Signed settlement agreements may be viewed on Commission's <u>website</u>. (Page is under construction.)

#### **Formal Hearing**

## 13-279 Robert J. Bembenek (B36418000), Cedar Rapids, IA

On September 4, 2014 the Iowa Real Estate Commission filed a Notice of Hearing and Statement of Charges against Bembenek, charging him with engaging in practices harmful or detrimental to the public, failing to diligently exercise reasonable skill and care in providing brokerage services to all parties, and/or failing to disclose to each party all material adverse facts known, in violation of Iowa Code sections 543B.29(1)(c), 543B.29(1)(d), 543B.34, 543B.56(1)(a), 543B.56(1)(b), 543B.56(1)(c) and 193E Iowa Administrative Code sections 12.4(2) and 18.14(5)(s). The hearing before the Commission was held on October 2, 2014 at the offices of the Iowa Real Estate Commission in Des Moines, Iowa.

Findings of Fact: The disciplinary case concerns Bembenek's involvement as a broker associate in the sale of a home located in Cedar Rapids, Iowa.

Conclusions of Law: Bembenek was charged with engaging in practices harmful or detrimental to the public, with failing to diligently exercise reasonable skill and care in providing brokerage services to all parties, and/or with failing to disclose to each party all material adverse facts known. Specifically, it was alleged that Bembenek failed to disclose to the seller and the seller's attorney information known by him relating to the person(s) purchasing the subject property, despite a specific inquiry by the seller's attorney before the time of entering into the purchase agreement.

Bembenek had specific duties to all parties to the transaction, regardless of whether they were his clients. They included the duty to provide his brokerage services to all parties in good faith, the duty to diligently exercise reasonable skill and care, and the duty to disclose all material adverse facts known to him unless those facts are already known by the other party. Bembenek's handling of this transaction violated all of these duties.

Order: The Commission ordered that Bembenek shall pay a civil penalty of two thousand dollars (\$2,000) and a hearing fee in the amount of seventy-five dollars (\$75.00) within thirty (30) days of the issuance of the Decision and Order. The Commission further ordered Bembenek complete the Commission approved twelve (12) hour course "Developing Professionalism and Ethical Practices" and eight (8) hour course "Real Estate Law and Agency Law" within one (1) year of the issuance of the Decision and Order.

#### **Unlicensed Practice**

### 13-259 Janet Reed (Unlicensed), Arnolds Park, IA – Cease and Desist Order by Consent Agreement

Reed agreed to cease and desist from any future violations of Iowa Code chapter 543B and to pay a civil penalty in the amount of five hundred dollars (\$500.00) within sixty (60) days of acceptance of the Cease and Desist Order by the Commission.

<u>Engaging in Practices Harmful or Detrimental to the Public and Failing to Diligently Exercise Reasonable Skill and</u>
Care in Providing Brokerage Services

#### 09-259 Sharon L. Albers (B20236000), Clear Lake, IA – Informal Settlement

Albers agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of one thousand dollars (\$1,000) within one hundred eighty (180) of acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved twelve (12) hour course "Developing Professionalism and Ethical Practices" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

## 10-540 Peg Kugler (\$30433000), Waterloo, IA - Informal Settlement

Kugler agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of one thousand, five hundred dollars (\$1,500.00) within one hundred eighty (180) of acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved

eight (8) hour course "Contract Law and Contract Writing" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

## 11-241 Carmen Hocking (S37220000), Des Moines, IA – Informal Settlement

Hocking agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of two thousand, five hundred dollars (\$2,500.00) within one hundred eighty (180) of acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved twelve (12) hour course "Developing Professionalism and Ethical Practices" and eight (8) hour course "Real Estate Law and Agency Law" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### 14-073 Julie A. Fischer (\$33994000), Sioux City, IA – Informal Settlement

Fischer agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of two thousand, five hundred dollars (\$2,500.00) within one hundred eighty (180) days of acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved twelve (12) hour course "Developing Professionalism and Ethical Practices" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### 14-084 Jake L. Willey (B38211000), Dubuque, IA - Informal Settlement

Willey agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of five hundred dollars (\$500.00) thirty (30) days of acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### Practicing Real Estate While License is Lapsed, Inactive, or Expired

#### 10-167 Mark A. Todd (B36307000), Cedar Rapids, IA – Informal Settlement

Todd agreed to resolve the charges (Charges I & II) with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of \$1,000 (\$500 for Count I and \$500 for Count II) as a condition precedent to the reinstatement of his lowa broker license. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### 14-094 Mark A. Huffman (\$59194000), Newton, IA - Informal Settlement

Huffman agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within one hundred eighty (180) calendar days after acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### 14-099 Lisa S. Sieren (S56914000), Keswick, IA – Informal Settlement

Sieren agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within thirty (30) calendar days after acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

Engaging in Practices Harmful or Detrimental to the Public by Knowingly Aiding or Abetting the Unlicensed Practice of Real Estate

### 13-226 Phyllis J. Kline (B31315000), West Des Moines, IA – Informal Settlement

Kline agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within one hundred and eighty (180) calendar days after acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved eight (8) hour course "Real Estate Law and Agency Law" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and

the statutes and rules regulating the practice of real estate.

#### 14-095 Dennis Combs (B44161000), Newton, IA - Informal Settlement

Combs agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within thirty (30) calendar days after acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

### 14-100 Scott D. Grimm (B38530000), North English, IA – Informal Settlement

Grimm agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within thirty (30) calendar days after acceptance of the Agreement by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### **Trust Account Violations**

See Iowa Code 543B.29(1)(k), 543B.34, 193E Iowa Administrative Code chapter 13, and 193E Iowa Administrative Code § 18.14(5)(e).

## 14-136 Kathy L. Lake (B41297000), Blue Grass, IA – Informal Settlement

Lake agreed to resolve the charge with a Settlement Agreement. She was ordered to pay a civil penalty in the amount of five hundred dollars (\$500) within sixty (60) days of acceptance of the Agreement by the Commission. She was also ordered to attend the Commission approved eight (8) hour course "Trust Accounts" within twelve (12) months of acceptance of the Agreement by the Commission. She also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

# 14-196 Todd J. Case (B28689000), Blue Grass, IA – Informal Settlement

Case agreed to resolve the charge with a Settlement Agreement. He was ordered to pay a civil penalty in the amount of two thousand, five hundred dollars (\$2,500.00) within one hundred eighty (180) days of acceptance of the Agreement by the Commission. Case is also subject to an audit, conducted by the Commission auditor, within twelve (12) months after the acceptance of the Order by the Commission. He also agreed to fully comply with all pertinent orders of the Commission and the statutes and rules regulating the practice of real estate.

#### Failing to Comply with the Mandatory Errors and Omissions Insurance Requirement

All licensees disciplined for E & O insurance violations were active at the time of the infraction. See Iowa Code 543B.29(1)(c), 543B.47(1), 543B.47(6) and 193E Iowa Administrative Code sections 18.2(5), 18.14(5)(s), 19.6(5), 19.6(6).

- **14-058 Mark Brandt (S6148000), Underwood, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- 14-098 Vincent J. Gaeta (\$20350000), Muscatine, IA \$1,000 Civil Penalty
- **14-117 Roger D. Lee (S61288000), Wellington, KS** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- 14-135 Barry L. Luchtel (S60359000), Des Moines, IA \$1,000 Civil Penalty
- **14-152 Brittany M. Dodson Ewing (S62232000), Lenox, IA** \$1,000 Civil Penalty
- **14-154 Mary J. Hughes (S41074000), Council Bluffs, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **14-155 Douglas B. Luke (\$59896000), Marion, IA** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **14-156 Mary C. Quella (B59927000), Queen Creek, AZ** \$1,000 Civil Penalty to be paid prior to reactivation or reinstatement of license
- **14-205 Verlen R. Dahleen (\$25633000), Lenox, IA** \$1,000 Civil Penalty

<u>Failing to Comply with Continuing Education Requirements and Causing to be Submitted, Whether Intentional or</u> Otherwise, Incorrect Information on a Renewal Application

See Iowa Code sections 272C.10(1), 543B.15(5), 543B.29(1), 543B.34(1) and 193E Iowa Administrative Code chapter 16 and 193E Iowa Administrative Code §§ 18.2(5), 18.14(5)(s).

**13-289 Sylvia McGee (S44772000), Ames, IA** - \$500 Civil Penalty to be paid prior to reactivation or reinstatement of license

## Failing to Comply with all Procedures to Effectuate a Change of Employment

See Iowa Code § 543B.33 and 193E Iowa Administrative Code §§ 6.1(1), 6.1(2), 18.14(5)(c)(2).

14-092 Dylan de Bruin (B43987000), Urbandale, IA - \$250 Civil Penalty

#### Failing to Timely Inform the Commission in Writing of a Change of Residence Address

See Iowa Code §§ 543B.16, 543B.18; and 193E Iowa Administrative Code §§ 7.2(6), 18.14(5)(d)(5).

**14-117 Roger D. Lee (S61288000), Wellington, KS** - \$50 Civil Penalty to be paid prior to reactivation or reinstatement of license

14-135 Barry L. Luchtel (\$60359000), Des Moines, IA - \$50 Civil Penalty

## Failing to Fully Disclose Criminal History on an Application

See Iowa Code § 543B.15(5).

14-018 John P. Sweeney (B59906000), Waukon, IA - \$500 Civil Penalty

14-150 Robert L. Hankins (\$36772000), Manchester, IA - \$500 Civil Penalty

**14-162** Ralph J. Ring (S63199000), Roland, IA - \$500 Civil Penalty

14-189 Jesse Meyer (B60307000), Dubuque, IA - \$500 Civil Penalty

**14-207 James J. Daly (S63293000), Ankeny, IA** - \$500 Civil Penalty

14-221 Stephanie Bishop (S63299000), Fort Dodge, IA - \$500 Civil Penalty

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